

IGW/2617



Docket No.: W1878.0206
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Wataru Hattori

Application No.: 10/528,605

Confirmation No.: n/a

Filed: March 21, 2005

Art Unit: 2617

For: FILTER AND COMPOSITE FILTER, FILTER
ASSEMBLY, INTEGRATED CIRCUIT CHIP
AND ELECTRONIC DEVICE WHICH
CONTAIN SAME, AND FREQUENCY
CHARACTERISTIC CHANGING METHOD
THEREFOR

Examiner: Not Yet Assigned

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has an error in

Missing Assignment information. Please correct Assignment information to reflect executed Assignment as submitted on 03/21/2005;

NEC Corporation

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

No fee is require, however, The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-2215, under Order No. W1878.0206.

Dated: February 15, 2007

Respectfully submitted,

By

Michael J. Scheer

Registration No.: 34,425

DICKSTEIN SHAPIRO LLP

1177 Avenue of the Americas

41st Floor

New York, New York 10036-2714

(212) 277-6500

Attorney for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY SCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/528,605	03/21/2005	2617	1000	VV1878.0206	18	22	2

FEB 21 2007

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DICKSTEIN SHAPIRO LLP
1177 AVENUE OF THE AMERICAS (6TH AVENUE)
NEW YORK, NY 10036-2714

CONFIRMATION NO. 3996

FILING RECEIPT



OC000000021818045

Date Mailed: 01/11/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Wataru Hattori, Tokyo, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 32172.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/11837 09/17/2003

Foreign Applications

JAPAN 2002-272564 09/19/2002

If Required, Foreign Filing License Granted: 01/03/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/528,605**

Projected Publication Date: 04/12/2007

Non-Publication Request: No

Early Publication Request: No

Title

DSMO FILE NO. W1878.0206

DUE: _____

C/L: _____

ENTERED BY: af. sATTY: MJS / form

Filter and composite filter, filter assembly, integrated circuit chip and electronic device which contain same, and frequency characteristic changing method therefor

Preliminary Class

455

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

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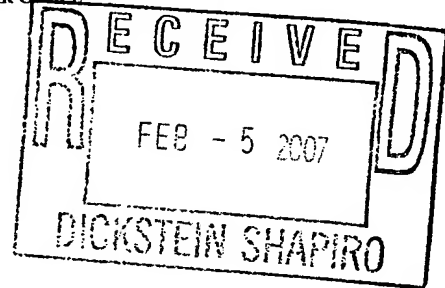
UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 30, 2007

PTAS

MICHAEL J. SCHEER
DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP
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UNITED STATES PATENT AND TRADEMARK OFFICE
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 03/21/2005

REEL/FRAME: 018030/0659
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: W1878.0206

ASSIGNOR:
HATTORI, WATARU

DOC DATE: 03/14/2005

ASSIGNEE:
NEC CORPORATION
7-1, SHIBA 5-CHOME, MINATO-KU
TOKYO, JAPAN

SERIAL NUMBER: 10528605

FILING DATE: 03/21/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: FILTER AND COMPOSITE FILTER, FILTER ASSEMBLY, INTEGRATED CIRCUIT
CHIP AND ELECTRONIC DEVICE WHICH CONTAIN SAME, AND FREQUENCY
CHARACTERISTIC CHANGING METHOD THEREFOR

DSMO FILE NO. W1878.0206

DUE: _____

C/L: _____

ENTERED BY: A. J.

ATTY: USPTO Formalities

018030/0659 PAGE 2

MAURICE CARTER, PARALEGAL
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

07-11-2006

10/528605 JC05 Rec'd PCT/PTO 21 MAR 2005



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ATION FORM COVER SHEET
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):
Wataru Hattori

Execution Date(s): March 14, 2005

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: NEC Corporation

Internal Address:

Street Address:

NEC Corporation
7-1, Shiba 5-chome,
Minato-ku,
Tokyo
Japan

City:

State:

Country:

Zip:

Additional name(s) & address(es) attached: ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

This application

☒ This document is being filed together with a new application.

B. Patent No.(s)

10/528605

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Michael J. Scheer
DICKSTEIN SHAPIRO MORIN & OSHINSKY
LLP

Internal Address: Atty. Dkt.: W1878.0208

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9. Signature:

Michael J. Scheer
Signature

Michael J. Scheer - 34,425

Name of Person Signing

March 21, 2005
Date

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

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